

ΜΑΘΗΜΑΤΙΚΑ.— **The Helson constant of the Union of independent Countable Helson sets**, by *E. Galanis* \*. Ἀνεκοινώθη ὑπὸ τοῦ Ἀκαδημαϊκοῦ κ. Φίλ. Βασιλείου.

**Introduction and notation.** Let  $G$  be a locally compact abelian group and let  $\hat{G}$  be its dual group, i. e. the multiplicative group of all continuous homomorphisms

$$x : G \rightarrow T \text{ of } G \text{ into } T = \mathbb{R} \pmod{2\pi}.$$

We shall denote by  $M(G)$  the set of all bounded complex valued Radons measures on  $G$ .

Let  $E$  be a compact subset of  $G$ ; we shall write  $G_p(E)$  for the group generated by  $E$  in  $G$ .

**Definition.** A compact subset  $E$  of  $G$  is called a Helson  $a$ -set ( $H_a$ -set), if there exists a constant  $a > 0$  such that

$$\|\hat{\mu}\|_{\infty} = \sup_{x \in \hat{G}} |\hat{\mu}(x)| \geq a \|\mu\|$$

for every  $\mu \in M(E)$ , (observe that then  $0 < a \leq 1$ ).

In this paper we shall prove the following theorems.

**Theorem 1.** Let  $E_1, E_2 \subset G$  countable compact  $H_{a_1}, H_{a_2}$  subsets of  $G$  such that

$$G_p(E_1 + x) \cap G_p(E_2 + x) = \{0\}$$

except for a countable set of  $x$ ,  $N$  say.

Then  $E_1 \cup E_2$  is an  $H_{\min(a_1, a_2)}$ -set.

**Theorem 2.** There exist two finite disjoint Helson  $-1$  sets  $E_1, E_2 \in \mathbb{R}^2$  with  $(0, 0) \notin E_1, E_2$  and  $G_p(E_1) \cap G_p(E_2) = \{0\}$  yet with  $E_1 \cup E_2$  not Helson  $-1$ .

**Remarks:** The result of Theorem 1 should be contrasted with the case of two disjoint independent perfect Helson sets of constants  $a_1, a_2$  where it is known [1] that the union may has constant at most  $\frac{a_1 a_2}{a_1 + a_2}$ .

\* Ε. ΓΑΛΑΝΗ, Ἡ σταθερὰ Helson τοῦ συνενώματος ἀνεξαρτήτων ἀπαριθμητῶν συνόλων Helson.

**Proof of Theorem 2.**

Take  $E_1 = \{(x, 0), (-x, 0)\}$ ,  $E_2 = \{(0, y), (0, -y)\}$ ,  $(x, y \neq 0)$ .

Since  $E_1 + (0, \sqrt{2}\pi)$  is independent,  $E_1$  is independent so Kronecker so  $E_1$  is Helson - 1. Similarly  $E_2$  is Helson - 1. Clearly  $0 \notin E_1, E_2$  and  $Gp(E_1) \cap Gp(E_2) = \{0, 0\}$ . On the other hand setting

$$\mu = (\delta_{(x,0)} - \delta_{(-x,0)} + \delta_{(0,y)} + \delta_{(0,-y)})/4$$

we have  $\text{supp } \mu = E_1 \cup E_2$ ,  $\|\mu\|_M = 1$  and

$$\begin{aligned} |\hat{\mu}(\chi)| &= |\chi_{(x,0)} - \overline{\chi_{(x,0)}} + \chi_{(0,y)} + \overline{\chi_{(0,y)}}|/4 = \\ &= \sqrt{(\chi_{(x,0)} - \overline{\chi_{(x,0)}})^2 + (\chi_{(0,y)} + \overline{\chi_{(0,y)}})^2} \leq \sqrt{2^2 + 2^2}/4 = \frac{1}{\sqrt{2}} < 1. \end{aligned}$$

(Notting that  $\chi_{(x,0)} - \overline{\chi_{(x,0)}} = 2 \text{Im } \chi_{(x,0)}$ ,  $\chi_{(0,y)} + \overline{\chi_{(0,y)}} = 2 \text{Re } \chi_{(0,y)}$ ).

So that  $E_1 \cup E_2$  has Helson constant at most  $\frac{1}{\sqrt{2}}$ .

**Proof of Theorem 1.** We shall prove first

Lemma: Let  $E_1, E_2$  finite subsets of  $G$ , such that

$$Gp(E_1) \cap Gp(E_2) = \{0\}.$$

Then given  $\chi_1, \chi_2 \in \hat{G}$  and  $\varepsilon > 0$ , there exists  $\chi_3 \in \hat{G}$  such that

$$\|\chi_1 - \chi_3\|_{C(E_1)} \leq \varepsilon$$

$$\|\chi_2 - \chi_3\|_{C(E_2)} \leq \varepsilon$$

Proof: Let  $\chi_1, \chi_2$  two characters. Then

$$\chi_1|_{Gp(E_1)} : Gp(E_1) \rightarrow T$$

$$\chi_2|_{Gp(E_2)} : Gp(E_2) \rightarrow T$$

Let  $H = Gp(E_1) \cap Gp(E_2) \subset G$  and

$$\psi : H \rightarrow T \text{ such that } \psi(h_1, h_2) = \chi_1(h_1) \chi_2(h_2)$$

Then  $\exists \tilde{\psi}$  continuous character,  $G \rightarrow T$  such that

$$\tilde{\psi}|_{E_1} = \chi_1|_{E_1}$$

$$\tilde{\psi}|_{E_2} = \chi_2|_{E_2}$$

**Case 1.  $E_1, E_2$  are finite.**

$E_1$  finite, so there exist finite sets of measures  $\mu_1, \mu_2, \dots, \mu_n$  such that

$$(i) \quad \|\mu_i\| = 1$$

$$(ii) \quad \text{If } \mu \in M(E), \|\mu\| = 1 \text{ then } \|\mu - \mu_i\| \leq \varepsilon.$$

For each  $1 \leq i \leq n$ , there exists a sequence  $n_{i,k} \rightarrow \infty$  as  $k \rightarrow \infty$  such that

$$|\hat{\mu}_i(n_{i,k})| \rightarrow a_i.$$

Similarly for  $\sigma_i$  for  $E_2$ .

Claim we can find a single point  $y$  such that

$$(1) \quad y \notin N$$

$$(2) \quad e^{i(\arg \hat{\mu}_i(n_{i,k}) + n_{i,k}y)}$$
 is dense in  $T$

$$\forall t \in T \quad \lim |\hat{\mu}_i(n_{i,k}) e^{in_{i,k}y} - a_i e^{it}| = 0.$$

Choose  $\lambda_1, \lambda_2, \dots$   $|\lambda_i| = 1$   $\lambda_i$  dense in  $T$ . Set  $N = \{x_1, \dots\}$ .

Now suppose we have constructed  $y_{i,k}$  and  $\varepsilon_{i,k} > 0$ . Two cases arise either  $i < n$  in which case set  $i' = i + 1, k' = k$  or

$$i = n \text{ in which case set } i' = 1, \quad k' = 1.$$

Now since  $n_{i',r} \rightarrow \infty$  as  $r \rightarrow \infty$ , we can find an  $n_{i',r'}$  such that

$$n_{i',r'} \varepsilon_{i,k} \geq \frac{16}{\pi}.$$

Now we can find a  $\delta_{i',k'}, |\delta_{i',k'}| \leq \frac{2\pi}{n_{i',r'}}$ ,  $e^{n_{i',k'} \delta_{i',k'}} = \lambda_{k'} e^{-i \arg \hat{\mu}_i(n_{i',k'})}$ .

Set  $y_{i',k'} = y_{i,k} + \delta_{i',k'} + w_{i',k'}$  with

$$|w_{i',k'}| \leq \frac{\delta_{i',k'}}{2}, \quad |y_{i',k'} - x_{i'}| \geq \frac{\delta_{i',k'}}{2} \quad (1)$$

observe  $|y_{i',k'} - y_{i,k}| \leq |\delta_{i',k'}| \leq \frac{\varepsilon_{i,k}}{8} \quad (2)$

choose  $0 < \varepsilon_{i',k'} \leq \frac{\delta_{i',k'}}{2\pi} \cdot 2^{-k'-16} \quad (3)$

Then provided  $|y - y_{i',k'}| \leq \varepsilon_{i',k'}$  we have by (1)

$$(a) |y - x_{i'}| \geq \frac{\delta_{i',k'}}{4}$$

$$(b) |e^{i(\arg \hat{\mu}_i(n_{i',k'}) + n_{i',k'} y)} - \lambda_{k'}| \\ \leq |e^{i(\arg \hat{\mu}_i(n_{i',k'}) + n_{i',k'}(y_{i',k'}))} - \lambda_{k'}| + |e^{in_{i',k'}(y - y_{i',k'})} - 1| \\ \leq 0 + 2^{-k'} = 2^{-k'}$$

Now  $y_{i,k} \rightarrow y$  with  $|y_{i,k} - y| \leq \varepsilon_{i,k}$ .

So by (b)  $\lim_{i \rightarrow \infty} |e^{i(\arg \hat{\mu}_i(n_{i,k}) + n_{i,k} y)} - \lambda_i| = 0$ , by (a) follows

$$|y - x_{i'}| \geq \frac{\delta_{i',k'}}{4} > 0. \text{ So } y \notin N.$$

Now since  $y \notin N$  we can apply lemma. Take  $k \in M^+(E_1 \cup E_2 + y)$ ,  $\|K\| = 1$ .

Then  $\exists \mu_i, \sigma_i, \lambda_1, \lambda_2, \lambda_1 + \lambda_2 = 1$  such that

$$\|K - \lambda_1 \mu_i * \delta_y - \lambda_2 \sigma_i * \delta_y\| \leq \varepsilon$$

Now  $\exists r_1, r_2$  such that

$$|\hat{\mu}_i(r_1) e^{ir_1 y} - a_1| \leq \varepsilon$$

$$|\hat{\sigma}_i(r_2) e^{ir_2 y} - a_2| \leq \varepsilon$$

by lemma  $\exists r_3$  such that

$$\|x_{r_3} - x_{r_1}\|_{C(E_1)} \leq \varepsilon$$

$$\|x_{r_3} - x_{r_2}\|_{C(E_2)} \leq \varepsilon$$

Then

$$|\hat{\mu}_i(r_3) e^{ir_3 y} - \hat{\mu}_i(r_1) e^{ir_1 y}| \leq \varepsilon$$

$$|\hat{\sigma}_i(r_3) e^{ir_3 y} - \hat{\sigma}_i(r_2) e^{ir_2 y}| \leq \varepsilon$$

So  $|\hat{\mu}_i(r_3) e^{ir_3 y} - a_1| \leq |\hat{\mu}_i(r_3) e^{ir_3 y} - \hat{\mu}_i(r_1) e^{ir_1 y}| + |\hat{\mu}_i(r_1) e^{ir_1 y} - a_1| \leq 2\varepsilon$

and similarly

$$|\hat{\sigma}_i(r_3) e^{ir_3 y} - a_2| \leq 2\varepsilon$$

Then

$$\|\hat{K}(r_3) - \lambda_1 \hat{\mu}_i(r_3) e^{ir_3 y} - \lambda_2 \hat{\sigma}_i(r_3) e^{ir_3 y}\| \leq \varepsilon$$

$$\begin{aligned}
\text{So } \lambda_1 a_1 + \lambda_2 a_2 - \widehat{K}(r_3) &\leq |\lambda_1 a_1 + \lambda_2 a_2 - \lambda_1 \widehat{\mu}_1(r_3) e^{ir_3 y} - \lambda_2 \widehat{\sigma}_1(r_3) e^{ir_3 y}| + \\
&+ |\lambda_1 \widehat{\mu}_1(r_3) e^{ir_3 y} + \lambda_2 \widehat{\sigma}_1(r_3) e^{ir_3 y} - \widehat{K}(r_3)| \leq \\
&\leq \lambda_1 |a_1 - \widehat{\mu}_1(r_3) e^{ir_3 y}| + \lambda_2 |a_2 - \widehat{\sigma}_1(r_3) e^{ir_3 y}| + \\
&+ |\lambda_1 \widehat{\mu}_1(r_3) e^{ir_3 y} + \lambda_2 \widehat{\sigma}_1(r_3) e^{ir_3 y} - \widehat{K}(r_3)| \leq 3\varepsilon
\end{aligned}$$

and finally

$$\widehat{K}(r_3) \geq \lambda_1 a_1 + \lambda_2 a_2 - 3\varepsilon \geq \min(a_1, a_2) - 3\varepsilon.$$

So  $E_1 \cup E_2$  is Helson, with constant  $\min(a_1, a_2) - 3\varepsilon$ . As  $\varepsilon$  is arbitrary  $E_1 \cup E_2$  is Helson constant  $\min(a_1, a_2)$ .

### Case 2. $E_1, E_2$ countable.

Let  $\mu \in M(E_1 \cup E_2)$ . We write

$$\mu = \mu_1 + \mu_2 + \mu_3 + \mu_4, \quad \mu_1, \mu_2 \in M(E_1), \quad \mu_3, \mu_4 \in M(E_2).$$

Supp  $\mu_1$  is finite subset of  $E_1$ ,  $\|\mu_2\| \leq \varepsilon$

Supp  $\mu_2$  is finite subset of  $E_2$ ,  $\|\mu_4\| \leq \varepsilon$ .

$$\begin{aligned}
\text{Then } 2\varepsilon + \|\widehat{\mu}\|_\infty &\geq \|\widehat{\mu_1 + \mu_3}\|_\infty \geq \min(a_1, a_2) \|\mu_1 + \mu_3\| \geq \\
&\geq \min(a_1, a_2) (\|\mu\| - \varepsilon) \geq \min(a_1, a_2) - 2\varepsilon
\end{aligned}$$

$$\text{or } \|\widehat{\mu}\|_\infty \geq \min(a_1, a_2) \|\mu\| - 4\varepsilon$$

and since  $\varepsilon$  is arbitrary, this completes the proof of the Theorem.

It is my pleasure to express my gratitude to Dr. T. W. Körner who posed the problem considered in this paper.

### REFERENCES

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### ΠΕΡΙΛΗΨΙΣ

Ἐστώσαν  $E_1, E_2$  δύο σύνολα τοῦ Helson οὕτως ὥστε

$$\text{Gp}(E_1) \cap \text{Gp}(E_2) = \{0\}, \quad 0 \notin E_1 \cup E_2,$$

μὲ σταθερὰς τοῦ Helson  $a_1, a_2$  ἀντιστοίχως.

Ὁ Βαρόπουλος ἔχει ἀποδείξει ὅτι ἡ ἔνωση  $E_1 \cup E_2$  εἶναι ἐν σύνολον τοῦ Helson μὲ σταθερὰν τουλάχιστον  $\frac{a_1^2 a_2^2}{a_1^2 + a_2^2}$  καὶ ὁ Körner ἔχει ἀποδείξει ὅτι ἡ ἔνωση δύο συνόλων τοῦ Helson δύναται νὰ εἶναι ἀκριβῶς ἴση μὲ  $\frac{a_1 a_2}{a_1 + a_2}$ .

Ἐπίσης ὁ Βαρόπουλος ἔχει ἀποδείξει ὅτι καὶ εἰς τὴν γενικὴν περίπτωσιν ἡ ἔνωση δύο συνόλων τοῦ Helson εἶναι ἐν σύνολον τοῦ Helson.

Ὑποθέτομεν ὅτι ἔχομεν δύο κλειστὰ ἀριθμησίμα σύνολα τοῦ Helson  $E_1, E_2$  μὲ σταθερὰς  $a_1, a_2$  καὶ  $\text{Gr}(E_1) \cap \text{Gr}(E_2) = \{0\}$ . Τότε ἡ εἰκασία τοῦ Körner εἶναι ὅτι ἡ ἔνωση  $E_1 \cup E_2$  εἶναι ἐν σύνολον τοῦ Helson μὲ σταθερὰν  $\min(a_1, a_2)$ .

Εἰς τὴν παροῦσαν ἐργασίαν τὸ θέμα 2 ἀποδεικνύει ὅτι ἡ ἀνωτέρω εἰκασία τοῦ Körner δὲν εἶναι ἀληθής, ἐνῶ τὸ θέμα 1 ἀποδεικνύει ὅτι, ἐὰν ὑποθέσωμεν ὅτι

$$\text{Gr}(E_1 + x) \cap \text{Gr}(E_2 + x) = \{0\}$$

ἰσχύει δι' ὅλα τὰ  $x$  ἐκτὸς ἴσως ἐνὸς ἀριθμησίμου πλήθους, τότε ἡ ἔνωση δύναται νὰ ἔχη σταθερὰν ἴση μὲ  $\min(a_1, a_2)$ .

★

Ὁ Ἀκαδημαϊκὸς κ. **Φίλ. Βασιλείου** παρουσιάζων τὴν ἀνωτέρω ἀνακοίνωσιν λέγει τὰ ἑξῆς :

Ἐχω ἐπίσης τὴν τιμὴν νὰ ἀνακινώσω εἰς τὴν Ἀκαδημίαν Ἀθηνῶν ἐργασίαν τοῦ διδάκτορος τοῦ Πανεπιστημίου τοῦ Cambridge τῆς Ἀγγλίας κ. Ε. Γαλανῆ, ἐργασίαν συντεταγμένην ἀγγλιστὶ καὶ ἡ ὁποία, ἐν μεταφράσει, ἔχει τὸν συμπληρωμένον τίτλον «Ἡ σταθερὰ Helson τοῦ συνενώματος ἀνεξαρτήτων ἀπαριθμητῶν συνόλων Helson».

Ἡ ἐργασία αὐτὴ ἀναφέρεται εἰς δημοσιευθεῖσας μελέτας τῶν Ν. Βαροπούλου καὶ Τ. W. Körner. Τούτων, ὁ μὲν Βαρόπουλος ἀπέδειξεν ὅτι τὸ συνένωμα δύο συνόλων Helson, μὲ σταθερὰς Helson  $a_1$ , ἀντιστ.  $a_2$ , εἶναι ἐπίσης σύνολον Helson μὲ σταθερὰν τουλάχιστον ἴσην μὲ  $a_1^2 a_2^2 / (a_1^2 + a_2^2)$ , ἐνῶ ὁ Körner διετύπωσε τὴν εἰκασίαν ὅτι τὸ ἐν λόγω συνένωμα ἔχει σταθερὰν Helson ἴσην μὲ  $\min(a_1, a_2)$ .

Εἰς τὴν παροῦσαν ἀνακοίνωσιν ὁ συγγραφεὺς ἀποδεικνύει ὅτι γενικῶς ἡ ἀνω εἰκασία τοῦ Körner δὲν ἀληθεύει. Εὐσταθεῖ μόνον ὑπὸ ὀρισμένην προϋπόθεσιν, τὴν ὁποίαν καὶ διατυπώνει ὁ κ. Γαλανῆς εἰς τὴν παροῦσαν ἀνακοίνωσίν του.