

ΦΥΣΙΚΗ.—**Eigenvalue Bounds to the Boltzmann Equation**, by C. Syros\*. Ανεκοινώθη ύπό τοῦ Ἀκαδημαϊκοῦ κ. K. Αλεξοπούλου.

The derivation of the time dependent Boltzmann distributions requires the knowledge of the eigenvalue spectrum of the Boltzmann operator.

In this note an arbitrary but bounded kernel is considered depending on the space and velocity coordinates.

In what follows a spectral property is established giving a lower and an upper bound for the eigenvalues. The physical system has an arbitrary convex shape of any number of dimensions.

### Definition.

- 1°.  $x \in R$ , where  $R$  is the set of all  $p$ -dimensional vectors,  $R \subset R^p$ .
- 2°. The diameter of  $R$  is finite.
- 3°. The convex surface of  $R$  is  $S(S \subset R)$  and  $\vec{dS}$  is the vector surface-element on  $S$ .  $n$  is the outwards pointing normal on  $S$ .
- 4°.  $v \in U$ , where  $U$  is the velocity space  $U \subseteq V^p$ .
- 5°.  $\{\psi_\lambda(x, v), \lambda | \lambda \in \Lambda\}$  are the solutions and the eigenvalues. All  $\psi_\lambda(x, v)$  are scalar functions of  $x$  and satisfy the equation

$$v \cdot \nabla_x \psi_\lambda(x, v) + v \sum_t (x, v) \psi_\lambda(x, v) = \lambda \chi(x, v) \psi_\lambda(x, v), \quad (1)$$

where  $v = |v|$ ,

- 6°.  $\nabla_x$  is the  $p$ -dimensional gradient operator acting on functions of  $x$ .

$$7°. M_{\mu\lambda}^{ij} = \int_U dv'^p \int_R dx'^p \psi_\mu^{(i)} \int_U dv^p \int_R dx^p \chi \psi_\lambda^{(j)}; \quad \psi_\lambda^{(1)} = \operatorname{Re} \psi_\lambda, \\ \psi_\lambda^{(2)} = \operatorname{Im} \psi_\lambda, \quad i, j = 1, 2.$$

The various quantities in Eq. (1) are specified in the following:

### Assumptions.

- 1°.  $\psi_\lambda(x, v) \in H$ ; ( $\forall \lambda | \lambda \in \Lambda$ ), where  $H$  is the space of all  $L^2$ -summable functions defined on  $R \otimes U$ .

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2°. The linear bounded operator,  $\kappa(x, v)$ , is the scattering kernel defined on  $H$  by

$$\kappa(x, v) \psi(x, v) = \int \int_{R \cup} dx^p dv^p \kappa(x, x'; v, v') \psi(x', v').$$

3°. The total cross-section  $\Sigma_t(x, v)$  is supposed such that

$$|(\psi_\mu(x, v), v \Sigma_t(x, v) \psi_\lambda(x, v))| < \infty; (\forall \lambda, \forall \mu | \lambda, \mu \in \Lambda).$$

With these definitions and assumptions we shall prove the following:

### Theorem.

Let  $\psi_\lambda(x, v)$ ,  $\psi_\mu(x, v)$  be solutions of Eq. (1) satisfying the boundary condition  $\psi_\lambda(x, v) = \psi_\mu(x, v) = 0$ ;  $\{(\forall x | x \in S) \wedge (\forall n, v | n \cdot v < 0)\}$ . Let further  $\lambda_1, \lambda_2, G_{\lambda\mu}$  be given numbers.

Then, the spectrum of the linear operator defining Eq. (1) satisfies:

$$1^\circ. \quad \lambda_1 \leqslant |\lambda| \leqslant \lambda_2$$

where

$$2^\circ. \quad \lambda_1 = \inf_{\lambda \in \Lambda} \left\{ \left[ \langle v \Sigma_t \rangle_{\lambda\bar{\lambda}} + \frac{1}{2} G_{\lambda\bar{\lambda}} \right] \Big/ \left[ (M_{\lambda\bar{\lambda}}^{11} + M_{\lambda\bar{\lambda}}^{22})^2 + (M_{\lambda\bar{\lambda}}^{12} - M_{\lambda\bar{\lambda}}^{21})^2 \right]^{\frac{1}{2}} \right\}, \quad (*)$$

$$3^\circ. \quad \lambda_2 = \sup_{\lambda \in \Lambda} \left\{ \left[ |\langle v \Sigma_t \rangle_{\lambda\lambda}| + \frac{1}{2} |G_{\lambda\lambda}| \right] \Big/ \left[ (M_{\lambda\lambda}^{11} - M_{\lambda\lambda}^{22})^2 + (M_{\lambda\lambda}^{12} + M_{\lambda\lambda}^{21})^2 \right]^{\frac{1}{2}} \right\}$$

and

$$4^\circ. \quad G_{\lambda\mu} = \int \int_{S \cup} dv^p v \cdot dS \psi_\lambda(x, v) \psi_\mu(x, v).$$

Proof:

From Eq. (1) it follows that

$$v \cdot \nabla_x (\psi_\lambda \psi_\mu) + 2v \Sigma_t(x, v) \psi_\lambda \psi_\mu = \lambda \psi_\mu \kappa \psi_\lambda + \mu \psi_\lambda \kappa \psi_\mu. \quad (2)$$

Since  $\psi_\lambda, \psi_\mu$  are scalar functions of  $x$  it follows by integration that:

$$\lambda (\bar{\psi}_\mu, \kappa \psi_\lambda) + \mu (\bar{\psi}_\lambda, \kappa \psi_\mu) = 2 \langle v \Sigma_t(x, v) \rangle_{\lambda\mu} + G_{\lambda\mu}. \quad (3)$$

In Eq. (3)  $\langle v \Sigma_t \rangle_{\lambda\mu}$  is given by

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(\*)  $\bar{X}$  is the complex conjugate of  $X$ .

$$\langle v \Sigma_t(x, v) \rangle_{\lambda\mu} = \int_{\kappa} d^p x \int_U d^p v \psi_\mu v \Sigma_t(x, v) \psi_\lambda \quad (4)$$

and  $\psi_\lambda(x, v) \psi_\mu(x, v)$  being scalar function of  $x$  satisfies the theorem:

$$\int_U d^p v \int_R d^p x v \cdot \nabla_x (\psi_\lambda \psi_\mu) = \int_U d^p v \int_S v \cdot dS \psi_\lambda \psi_\mu. \quad (5)$$

If now we put  $\mu = \bar{\lambda}$  in Eq. (3), it follows that the expectation value of  $v \Sigma_t(x, v)$  is positive,

$$\langle v \Sigma_t(x, v) \rangle_{\lambda\bar{\lambda}} > 0. \quad (6)$$

On the other hand, since  $\psi_\lambda(x, v) = 0$  for all  $x$  on the boundary surface  $S$  and for all velocities satisfying  $v \cdot n < 0$ , it follows that the integrand in Eq. (5) is everywhere on  $S$  semi-positive. Therefore  $G_{\lambda\bar{\lambda}}$  is also positive,

$$G_{\lambda\bar{\lambda}} > 0. \quad (7)$$

Moreover, it follows from Eq. (3) for  $\mu = \bar{\lambda}$  after some calculations that

$$|\lambda| \geq \inf_{\lambda \in \Lambda} \left\{ \left[ v \Sigma_t \rangle_{\lambda\bar{\lambda}} + \frac{1}{2} G_{\lambda\bar{\lambda}} \right] \sqrt{\left[ (M_{\lambda\bar{\lambda}}^{11} + M_{\lambda\bar{\lambda}}^{22})^2 + (M_{\lambda\bar{\lambda}}^{12} - M_{\lambda\bar{\lambda}}^{21})^2 \right]^{\frac{1}{2}}} \right\} \quad (8)$$

and for  $\mu = \lambda$  we get from Eq. (3)

$$|\lambda| \leq \sup_{\lambda \in \Lambda} \left\{ \left[ |v \Sigma_t \rangle_{\lambda\lambda}| + \frac{1}{2} |G_{\lambda\lambda}| \right] \sqrt{\left[ (M_{\lambda\lambda}^{11} - M_{\lambda\lambda}^{22})^2 + (M_{\lambda\lambda}^{12} + M_{\lambda\lambda}^{21})^2 \right]^{\frac{1}{2}}} \right\} \quad (9)$$

Q. E. D.

**Remark I.** If  $\kappa(x, v)$  is a self-adjoint operator, then it follows from Eq. (3) that,

$$\lambda = \left[ v \Sigma_t \rangle_{\lambda\bar{\lambda}} + \frac{1}{2} G_{\lambda\bar{\lambda}} \right] / \langle \kappa \rangle_{\lambda\bar{\lambda}}. \quad (10)$$

**Corollary:** The values of  $\lambda$  for which solution of equation (1) exists are restricted on the annulus defined by  $\lambda_1$  and  $\lambda_2$ .

### ΠΕΡΙΛΨΙΣ

\*Υπό τὴν προϋπόθεσιν τῆς ἀθροιστικότητος -  $L^2$  τῶν ιδιοσυναρτήσεων τῆς γραμμικοποιημένης ἔξισώσεως Boltzmann προκύπτει μία φασματικὴ ιδιότης. Δίδεται ἀπόδειξις δι' οἰονδήποτε ἀριθμὸν διαστάσεων  $p \geq 1$  τοῦ  $R^p$ .

## S U M M A R Y

A spectral property of the linearized Boltzmann equation is deduced from the  $L^r$ -summability assumption of the eigenfunctions. The proof is given for any number of dimensions  $p \geq 1$  of  $\mathbb{R}^p$ .



‘Ο Ἡ Ακαδημαϊκὸς κ. **Κ. Αλεξόπουλος** λαβὼν τὸν λόγον εἶπε τὰ ἔξῆς:

Κύριε Πρόεδρε,

Λαμβάνω τὴν τιμὴν νὰ παρουσιάσω εἰς τὴν Ἡ Ακαδημίαν Ἐργασίαν τοῦ κ. Κωνσταντίνου Σύρου μὲ τίτλον «Χαρακτηριστικὰ τιμαὶ τῶν γραμμικῶν ἔξισώσεων τοῦ Boltzmann».

‘Ο κ. Σύρος εἶναι φυσικὸς ἐργαζόμενος εἰς τὴν Εὐρωπαϊκὴν Κοινότητα Ἀτομικῆς Ἐνεργείας ἐν Βρυξέλλαις, ἀπὸ ἑτῶν δὲ ἀσχολεῖται μεταξὺ ἄλλων μὲ τὴν ἔξισωσιν Boltzmann. Ἡ ἔξισωσις αὗτη ἐπιτρέπει τὴν μελέτην τῶν διαδοχικῶν μορφῶν, τὰς ὅποιας λαμβάνει ἡ κατάστασις ἐνὸς συνόλου σωματίων. Ἡ ἔξελιξις τῶν θέσεων καὶ τῶν ταχυτήτων τῶν σωματίων εἶναι δυνατὸν νὰ μελετηθῇ, ὅταν εἶναι γνωστὴ ἡ ἀλληλεπίδρασις μεταξὺ τῶν σωματίων. Ὁ όρος ἀλληλεπίδρασις θὰ ἡδύνατο νὰ δηλοῖ τὰς δυνάμεις, αἱ δόποιαι ἐμφανίζονται κατὰ τὴν σύγκρουσιν δύο σωματίων. Ἀναλόγως, λοιπόν, τοῦ τύπου τῶν δυνάμεων ἐμφανίζονται διάφοροι μορφαὶ τῆς ἔξισώσεως Boltzmann.

Πρὸ ἔξαμήνου ἀνεκοίνωσα ἐνώπιον ὑμῶν λύσιν διὰ τὴν περίπτωσιν νετρονίων. Εἰς ἐκείνην τὴν περίπτωσιν δ κ. Σύρος ἐμελέτησε τὸ στατικὸν πρόβλημα. Εἰς τὴν παροῦσαν ἀνακοίνωσιν μελετᾶται, ἀντιθέτως, τὸ πρόβλημα κατανομῆς Boltzmann μεταβαλλομένης χρονικῶς. Τὸ πρόβλημα τοῦτο ἀναφαίνεται, ὁσάκις μελετᾶται δέσμη νετρονίων μεταβλητῆς ἐντάσεως. Τὰ συμπεράσματα τῆς ἐργασίας διατυποῦνται ὑπὸ μορφὴν μεωρήματος, τὰς λεπτομερείας τοῦ δόποιου θὰ πρέπει νὰ ἀναζητήσῃ δ ἐνδιαφερόμενος εἰς τὰ Πρακτικά.